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U.S. APPLICATION NUMBER NO. 09/830,691	FIRST NAMED APPLICANT Eui-Sung Choi	ATTY. DOCKET NO. 118.12-US-WO
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INTERNATIONAL APPLICATION NO. PCT/KR99/00265
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LA. FILING DATE 05/29/1999	PRIORITY DATE 10/31/1998
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22462  
 GATES & COOPER LLP  
 HOWARD HUGHES CENTER  
 6701 CENTER DRIVE WEST, SUITE 1050  
 LOS ANGELES, CA 90045

CONFIRMATION NO. 9629

371 FORMALITIES LETTER



\*OC00000008879717\*

Date Mailed: 10/03/2002

11/3/02 Response Due

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- Claims
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Request for Immediate Examination

# COPY

Applicant's response filed 04/26/2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/07/2001 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- CFR Transferred from prior application is not compliant Sequences in Figures 1-2 missing from the Sequence listing
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 305-3653

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/830,691	PCT/KR99/00265	118.12-US-WO



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INTERNATIONAL APPLICATION NO.
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I.A. FILING DATE	PRIORITY DATE
05/29/1999	10/31/1998

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 GATES & COOPER LLP  
 HOWARD HUGHES CENTER  
 6701 CENTER DRIVE WEST, SUITE 1050  
 LOS ANGELES, CA 90045

CONFIRMATION NO. 9629

371 WITHDRAWAL NOTICE



\*OC000000008879193\*

Date Mailed: 10/03/2002

### WITHDRAWAL OF PREVIOUSLY SENT NOTICE

The Notice mailed on 08/31/2001 was sent in error and is hereby withdrawn. A corrected Notice is enclosed. The time period for reply runs from the mail date of the enclosed Notice. We apologize for any inconvenience this caused.

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eui-Sung Choi et al.  
U.S. Serial No.: 09/830,691 Filed: 26 April 2001  
Agent's Reference: 118.12-US-WO Due Date: 4 November 2002  
Title: VECTOR FOR THE TRANSFORMATION OF PHAFFIA RHODOZYMA AND  
PROCESS OF TRANSFORMATION THEREBY

CERTIFICATE OF MAILING UNDER 37 CFR 1.10:

**'Express Mail' mailing label number: EL 816010988US**

**Date of Deposit: November 4, 2002**

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By:

Name: Adriane Baird

BOX PCT  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.10.
- ☒ Communication and Amendment in Response to: Notification of Defective Response - 1 page including a copy of the PTO Notification of Defective Response
- ☒ Sequence Listing (paper copy and computer readable form: 1 Diskette).
- ☒ Return postcard

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462

**GATES & COOPER LLP**

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(310) 641-8797

By:

Name: Karen S. Canady

Reg. No.: 39,927

KSC/amb

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